



BRANDON BECKER

MANAGING DIRECTOR

EXPERTISE

- ✓ Market Structure
- ✓ Broker-dealer Compliance
- ✓ Corporate Governance
- ✓ Exchange Regulation

EDUCATION

Carnegie Mellon University
LLM, Associate-in-Law
1979

University of San Diego Law School
Juris Doctor, magna cum laude
1977

University of Minnesota
BA, summa cum laude
1974

EMPLOYMENT HISTORY

SEDA Experts
Managing Director
2024-Current

Deloitte
Senior Advisor
Current

Consolidated Audit Trail, LLC
Chairman
Current

Depository Trust & Clearing Corporation (DTCC)
Managing Director & Deputy General Counsel
Depository Trust & Clearing Corporation
2017-2022

TIAA
EVP & Chief Legal Officer
2009-2015

Wilmerhale
Senior Counsel
2015-2017
Partner & Co-chair, Broker Dealer Compliance & Regulation Practice Group
1996-2009

Securities And Exchange Commission (SEC)
Special Advisor to the Chairman for International Derivatives
1995-1996
Director, Division of Market Regulation (now Trading and Markets)
1993-1995
Deputy Director, Division of Market Regulation
1991-1993
Associate Director, Various Roles
1978-1991

Brandon Becker is a distinguished expert in securities regulation and corporate governance, with a career spanning over four decades in both public service and private sector roles. His expertise covers a wide range of critical areas, including market oversight, broker-dealer compliance, and regulatory advisory for major financial institutions. Brandon has held key roles at the U.S. Securities and Exchange Commission (SEC), WilmerHale, and in executive positions at the Depository Trust & Clearing Corporation (DTCC).

Mr. Becker began his career at the Securities and Exchange Commission (SEC) in 1978, where he served for 18 years in various roles, culminating in his position as Director of the Division of Market Regulation. During his time at the SEC, Mr. Becker also served as a staff member of the President's Working Group on Financial Markets and represented the Commission in the Secondary Markets Working Party of the International Organization of Securities Commissions.

Following his time at the SEC, Mr. Becker joined WilmerHale as a Partner and Co-chair of the Broker-Dealer Compliance & Regulation Practice Group, where he provided legal counsel to a broad range of clients, including broker-dealers, banks, hedge funds, and institutional investors on matters of financial services regulation.

Mr. Becker was Executive Vice President and Chief Legal Officer at TIAA-CREF, leading the Advocacy & Oversight group and advising the CEO, Board of Directors, and executive team on important legal and regulatory matters. He also held senior leadership roles at the Depository Trust & Clearing Corporation (DTCC), serving as Managing Director and Deputy General Counsel, where he provided legal counsel and supported regulatory compliance efforts. Currently, Mr. Becker is Chairman of the Consolidated Audit Trail Operating Committee and a Senior Advisor to Deloitte.

In addition to his professional career, Mr. Becker has contributed to academia by teaching courses on corporate law and securities regulation at prestigious institutions, including American University, Columbia Law School, Georgetown University, Stanford University, and the University of Texas. Mr. Becker is also member of several professional associations, including the District of Columbia Bar Association, the State of New York Bar Association, and the American Bar Association Section of Business Law.