JOHN C. SICILIANO

MANAGING DIRECTOR

1-646-626-4555

EXPERTISE

- ✓ Wealth Management
- ✓ Institutional Asset Management
- ✓ Multi-asset and alternative asset management
- ✓ Global Product Distribution
- ✓ Product structuring and strategy
- ✓ Mergers and Acquisitions

EDUCATION

Stanford University Graduate School of Business, Stanford, California
MBA, Concentration in Finance

Pomona College, Claremont, California
Bachelor of Arts

EMPLOYMENT HISTORY

Avondale Strategies, LLC
Chairman
2011 to 2012 and 2019 to present

PriceWaterhouseCoopers, LLP
Senior Managing Director and
Global Strategy Leader, Asset and Wealth Management
2012-2019

New York Life Investments
Chief Executive Officer, Asset Management
2008-2010

Grail Partners, LLC
Co-Managing Partner
2007-2008

BKF Capital Group, INC. (NYSE: BKF)
Chairman, President and CEO
2005-2007

Dimensional Fund Advisors
Global Head, Institutional Distribution
2001-2005

Payden & Rygel
President, Payden & Rygel Investment Group
1998-2001

John Siciliano is a seasoned global financial services executive with over 40 years of experience across a number of leading financial institutions having served as a CEO; global head of distribution; and president of a mutual fund company; as well as head of global strategy for the asset and wealth management division of a major consulting firm. He is a world class expert on key issues facing financial institutions across strategy, product, distribution, pricing, and talent. He is a Qualified Financial Expert (QFE).

Mr. Siciliano is Chairman of Avondale Strategies, LLC, an advisory firm, where he consults with various global financial institutions including insurance companies, asset managers with a focus on traditional and alternatives firms. He also serves on the board of a major public company and an ETF funds provider, as well as chairing the board of a 1940 Act fund focused on alternative investing.

Mr. Siciliano was an investment banker from 1979-1991 including the launch of Smith Barney & Co.'s southwestern US investment banking practice and, subsequently, serving as co-head of Prudential Securities west coast investment banking business. From 1991-2010, he served as an operating executive, including service as a CFO and twice serving as a CEO in asset management, the latter for BKF Capital Group and New York Life's $100 billion third party asset management business.

From 2012 to 2019, he served as the head of Global Strategy in Asset and Wealth Management Advisory for PricewaterhouseCoopers with a focus on a broad range of global financial service firms.

In addition, he has been involved with a wide variety of non-profit organizations including The Committee for Economic Development; The Kravis Leadership Institute; The California Community Foundation; The Peter Drucker Graduate School of Management at Claremont Graduate University; The Marshall School of Business at the University of Southern California; and, the U.S. Institute.

He lives in New York City and Pasadena, California with his wife Wendy. They have three sons, two daughters-in-law and four grandchildren.