



DAWN STUMP

MANAGING DIRECTOR

EXPERTISE

- ✓ Derivatives Regulation
- ✓ Enforcement
- ✓ Surveillance
- ✓ Commodity Markets
- ✓ Public Policy

EDUCATION

Texas Tech University, Lubbock
Bachelor of Science
1996

EMPLOYMENT HISTORY

SEDA Experts
Managing Director
2023-Current

Stump Strategic, Washington
Principal
2023-Current

GBBC Digital Finance (GFD), London
Board of Directors
2022-Current

Railbird Exchange
Board of Directors
2022-Current

U.S. Commodity Futures Trading Commission (CFTC), Washington
Commissioner
2018-2022

Stump Strategic, Washington
Principal
2016-2018

Futures Industry Association, Washington
Executive Director Americas' Advisory Board
2012-2016

NYSE Euronext, New York and London
Vice President Government Affairs
2010-2012

U.S. Senate Committee on Agriculture, Nutrition & Forestry, Washington
Majority and Minority Senior Professional Staff
2006-2010

Dawn Stump is a highly seasoned financial executive and regulatory thought leader who recently served as a Commissioner of the Commodity Futures Trading Commission (CFTC). As a market regulator, Ms Stump has covered all types of asset classes with her most recent areas of attention encompassing business continuity, supply chain disruptions, cyber intrusion impact, integrity of emerging assets (e.g., crypto), data protection, and climate-related market risks.

As one of five CFTC Commissioners, she was responsible for considering and voting upon various rulemakings and enforcement cases. Serving during the event of a global pandemic, Dawn prioritized sector resilience, sustained market access, and robust surveillance strategies necessary during extreme market volatility and changing economic environments. Within the agency, she also championed the importance of enterprise-wide risk management practices. Specifically, Dawn initiated new agency-wide data protection procedures for the consistent handling of data intake and strengthening of responses to potential cyber intrusions.

Dawn is a board member of Railbird Exchange, a board member of GBBC Digital Finance, a strategic advisor to Solidus Labs, and a member of the Advisory Council to the Women in Derivatives' (WIND) Board of Directors.

Previously, as Senior Vice President of the Futures Industry Association (FIA) and concurrently Executive Director of its Americas Advisory Board, Dawn advised its President and Board on the impact of public policy changes. Earlier, as Vice President of NYSE Euronext Dawn was critical in the establishment of a new U.S. based derivatives exchange and clearing house. She also played a strategic role in a multinational merger.

During her early career in public service, she served in senior professional staff positions in the U.S. Senate and House of Representatives. She was actively involved in negotiating the reform of derivatives regulation via the Dodd-Frank Act and managed oversight of commodity and financial derivatives markets. Dawn began her role with a number of important roles in the agricultural sector in both Washington D.C. and Texas.

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EMPLOYMENT HISTORY

Syngenta America, Inc., Washington
Director of Government Relations
2005-2006

**U.S. Senate Committee on Agriculture,
Nutrition & Forestry, Washington**
Majority Professional Staff
2003-2005

**U.S. House of Representatives Committee
on Agriculture, Washington**
Professional Legislative Staff
2001-2003

**Office of U.S. Senator Phil Gramm,
Washington**
Legislative Assistant
1999-2001

Texas Department of Agriculture, Austin
Coordinator for Federal Issues and Trade
1998-1999

U.S. Wheat Associates, Washington
International Research & Market Analyst
1997-1998

**Texas Wheat Producers' Association,
Amarillo**
Communications Director
1996-1997